

Service Risk Registers 2013

| Service            | Chief Executive's Office |        | Date of Register | Feb-13 |
|--------------------|--------------------------|--------|------------------|--------|
|                    | High                     | Medium | Low              | Total  |
| Inherent Risks     | 6                        | 12     | 1                | 19     |
| Residual Risks     | 1                        | 3      | 15               | 19     |
| Top Residual Risks | Election fraud           |        |                  |        |
|                    | Budget cuts              |        |                  |        |
|                    | Partnership failure      |        |                  |        |

| Service            | Customer Services & IT Services                  |        | Date of Register | Aug-12 |
|--------------------|--|--------|------------------|--------|
|                    | High   | Medium | Low              | Total  |
| Inherent Risks     | 38   | 40     | 6                | 84     |
| Residual Risks     | 1  | 11     | 72               | 84     |
| Top Residual Risks | Decreased performance                            |        |                  |        |
|                    | External partner / contractor / supplier failure |        |                  |        |
|                    | Utilities failure                                |        |                  |        |

| Service            | Sport and Culture       |        | Date of Register | Jan-13 |
|--------------------|-------------------------|--------|------------------|--------|
|                    | High                    | Medium | Low              | Total  |
| Inherent Risks     | 18                      | 19     | 3                | 40     |
| Residual Risks     | 4                       | 8      | 28               | 40     |
| Top Residual Risks | Budget cuts             |        |                  |        |
|                    | Rising energy costs     |        |                  |        |
|                    | Refuge - emergency plan |        |                  |        |

| Service            | Green Spaces & Amenities         |        | Date of Register | Mar-12 |
|--------------------|----------------------------------|--------|------------------|--------|
|                    | High                             | Medium | Low              | Total  |
| Inherent Risks     | 6                                | 16     | 3                | 25     |
| Residual Risks     | 1                                | 6      | 18               | 25     |
| Top Residual Risks | Information technology failure   |        |                  |        |
|                    | Events                           |        |                  |        |
|                    | Major health and safety incident |        |                  |        |

| Service            | Streetscene                      |        | Date of Register | Jul-12 |
|--------------------|----------------------------------|--------|------------------|--------|
|                    | High                             | Medium | Low              | Total  |
| Inherent Risks     | 0                                | 19     | 20               | 39     |
| Residual Risks     | 0                                | 3      | 36               | 39     |
| Top Residual Risks | Funding withdrawal / budget cuts |        |                  |        |
|                    | Car parking income               |        |                  |        |
|                    | Contractor failure               |        |                  |        |

Service Risk Registers 2013

| Service            | Facilities Management |        | Date of Register | Jan-13 |
|--------------------|-----------------------|--------|------------------|--------|
|                    | High                  | Medium | Low              | Total  |
| Inherent Risks     | 23                    | 54     | 3                | 80     |
| Residual Risks     | 1                     | 24     | 55               | 80     |
| Top Residual Risks | Loss of income        |        |                  |        |
|                    | Lack of resources     |        |                  |        |
|                    | Economic decline      |        |                  |        |

| Service            | Regeneration & Planning Policy                    |        | Date of Register | Jan-13 |
|--------------------|---|--------|------------------|--------|
|                    | High  | Medium | Low              | Total  |
| Inherent Risks     | 14  | 6      | 3                | 23     |
| Residual Risks     | 3   | 12     | 8                | 23     |
| Top Residual Risks | Changes in local economy                          |        |                  |        |
|                    | Failure to maintain awareness of on-going records |        |                  |        |
|                    | Inability to raise alternative funding            |        |                  |        |

| Service            | Housing & Development Control                          |        | Date of Register | Under review |
|--------------------|--|--------|------------------|--------------|
|                    | High   | Medium | Low              | Total        |
| Inherent Risks     | 22   | 15     | 3                | 40           |
| Residual Risks     | 1  | 18     | 21               | 40           |
| Top Residual Risks | Demand for disabled facilities grant exceeds resources |        |                  |              |
|                    | Health and safety of staff                             |        |                  |              |
|                    | Budget cuts  |        |                  |              |

| Service            | Revenues & Benefits           |        | Date of Register | Under review |
|--------------------|-------------------------------|--------|------------------|--------------|
|                    | High                          | Medium | Low              | Total        |
| Inherent Risks     | 4                             | 28     | 12               | 44           |
| Residual Risks     | 2                             | 13     | 29               | 44           |
| Top Residual Risks | Localised council tax benefit |        |                  |              |
|                    | Localised non domestic rates  |        |                  |              |
|                    | Failure of IT systems         |        |                  |              |

| Service            | People, Law & Regulation |        | Date of Register | Under review |
|--------------------|--------------------------|--------|------------------|--------------|
|                    | High                     | Medium | Low              | Total        |
| Inherent Risks     | 13                       | 18     | 7                | 38           |
| Residual Risks     | 1                        | 5      | 32               | 38           |
| Top Residual Risks | Budget cuts              |        |                  |              |
|                    | Loss of key staff        |        |                  |              |
|                    | Restructuring external   |        |                  |              |

| Service            | Finance & Property Management |        | Date of Register | Jul-12 |
|--------------------|-------------------------------|--------|------------------|--------|
|                    | High                          | Medium | Low              | Total  |
| Inherent Risks     | 23                            | 17     | 2                | 42     |
| Residual Risks     | 0                             | 14     | 28               | 42     |
| Top Residual Risks | IT system failure             |        |                  |        |
|                    | Income decline                |        |                  |        |
|                    | Counterparty failure          |        |                  |        |

| Service            | All Services 2013      |        |     | Total |
|--------------------|------------------------|--------|-----|-------|
|                    | High                   | Medium | Low |       |
| Inherent Risks     | 167                    | 244    | 63  | 474   |
| Residual Risks     | 15                     | 117    | 342 | 474   |
| Top Residual Risks | Budget / Finance       |        |     |       |
|                    | Information Technology |        |     |       |
|                    | Health and Safety      |        |     |       |

| Service        | All Services 2012 |        |     | Total |
|----------------|-------------------|--------|-----|-------|
|                | High              | Medium | Low |       |
| Inherent Risks | 216               | 283    | 57  | 556   |
| Residual Risks | 25                | 146    | 385 | 556   |

